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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

		101 01110	i illali Ali Ac					Office Use Only	
1.	NAME OF COMMITTEE (in full)	TYPE OR	PRINT ▼		mple: If typion the lines.	ng, type	12FE4M5		
S	Securities Industry	and Financ	cial Markets	Associa	ation Polit	tical Actior	n Commit	tee	
ΑD	DRESS (number and stree		w York Avenue, N	1W					
	Check if different	8th Floo	r 						
ŀ	than previously reported. (ACC)	Washin	gton				DC	20005	
2.	FEC IDENTIFICATION	NUMBER T	, C	ITY 🛦		S	STATE A	ZIP CO	DDE 🛦
	C C00431312		3.	IS THIS REPORT	\ <u>\</u>	NEW (N) OR	Al (A	MENDED)	
4.	TYPE OF REPORT (Choose One)	Re	nthly Fe	eb 20 (M2)		May 20 (M5)	Aug	20 (M8)	Nov 20 (M11) (Non-Election Year Only)
	(a) Quarterly Reports:	Du	M M	ar 20 (M3)	×	Jun 20 (M6)	Sep	20 (M9)	Dec 20 (M12) (Non-Election Year Only)
	April 15		A	or 20 (M4)		Jul 20 (M7)	Oct	20 (M10)	Jan 31 (YE)
	Quarterly Repo	ort (Q1) (c)	12-Day		Primary (12F	P)	General	(12G)	Runoff (12R)
	Quarterly Repo	ort (Q2)	PRE-Election Report for the:		Convention ((12C)	Special	(12S)	
	October 15 Quarterly Repo	ort (Q3)			M M /	D D /	Y Y Y Y	in the	
	January 31 Year-End Repo	ort (YE)	Elec	tion on				State	of
	July 31 Mid-Ye Report (Non-el Year Only) (M	ection (u)	30-Day POST-Election		General (300	G)	Runoff (30R)	Special (30S)
	Termination Re (TER)	port	Report for the:	tion on	M = M /	D = D /	Y	in the	of
5.	Covering Period	M M / D 05	D / Y Y Y	/	through	M M M	/ 0 0 0	2014]
I ce	ertify that I have examine	ed this Report	and to the best	of my kno	wledge and	belief it is true	e, correct an	d complete.	
Тур	pe or Print Name of Trea	surer Mr. Ken	neth E. Bentsen J	lr.					
Sig	nature of Treasurer	Mr. Kenneth E. Bo	entsen Jr.		[Electronicall	y Filed] Da	ate 06	/ D D /	2014
NO	TE: Submission of false, e	erroneous, or inc	complete informat	ion may su	bject the per	son signing th	is Report to t	he penalties of 2	U.S.C. §437g.
_	Office Use							FEC FOI Rev. 12/2	
L	Only							1164. 12/	

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

2014 05 2014 Report Covering the Period: 05 31 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 249148.88 January 1, 2014 (b) Cash on Hand at 205095.80 Beginning of Reporting Period..... 179990.30 22076.95 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 227172.75 429139.18 6(a) and 6(c) for Column B)..... 62112.29 264078.72 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 165060.46 165060.46 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D)

For further information contact:

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
. Contributions (other than loans) From:	-	
(a) Individuals/Persons Other		
Than Political Committees	11010 75	420000 77
(i) Itemized (use Schedule A)	11019.75	139620.77
(ii) Unitemized	192.59	6038.49
(iii) TOTAL (add Lines 11(a)(i) and (ii)▶	11212.34	145659.26
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	10000.00	32000.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)	21212.34	177659.26
2. Transfers From Affiliated/Other		
Party Committees	0.00	0.00
3. All Loans Received	0.00	0.00
7.11 20010 11000190		7 7 7
Loan Repayments Received	0.00	0.00
5. Offsets To Operating Expenditures	, , , , , , , , , , , , , , , , , , , ,	
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	864.61	2331.04
6. Refunds of Contributions Made	,	· ·
to Federal Candidates and Other		
Political Committees	0.00	0.00
7. Other Federal Receipts		
(Dividends, Interest, etc.)	0.00	0.00
3. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account	2.02	
(from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(5) LOVIII I MING (HOITI GOTTEMBLE 110)	7	
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) ▶	22076.95	179990.30
D. Total Federal Receipts	20070.05	(7000000
(subtract Line 18(c) from Line 19)▶	22076.95	179990.30

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
 Operating Expenditures: — (a) Allocated Federal/Non-Federal 		Calchaal Tour to Bute
Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating		7 7
Expenditures	1112.29	2578.72
(c) Total Operating Expenditures	1112 20	2570.72
(add 21(a)(i), (a)(ii), and (b))▶ ☐	1112.29	2578.72
Committees	0.00	0.00
Contributions to Federal Candidates/Committees		
and Other Political Committees	61000.00	261500.00
Independent Expenditures	0.00	0.00
(use Schedule E) Coordinated Party Expenditures	0.00	0.00
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00
,		
Loan Repayments Made	0.00	0.00
Loone Made	0.00	0.00
Loans MadeRefunds of Contributions To:	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
-		
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	0.00	0.00
(such as PACs)	0.00	
(d) Total Contribution Refunds		
(add Lines 28(a), (b), and (c))▶	0.00	0.00
Other Disbursements	0.00	0.00
Other Disbursements	0.00	0.00
Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity		
(from Schedule H6)	0.00	0.00
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely		
With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add	0.00	0.00
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	62112.29	264078.72
Total Federal Disbursements		
(subtract Line 21(a)(ii) and Line 30(a)(ii)	62112 20	264079 72
from Line 31)	62112.29	264078.72

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	21212.34	177659.26
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	21212.34	177659.26
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	1112.29	2578.72
7. Offsets to Operating Expenditures (from Line 15, page 3)	864.61	2331.04
8. Net Operating Expenditures (subtract Line 37 from Line 36)	247.68	247.68

Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

Full Name (Last, First, Middle Initial)		ction Committee
Jake Sigmund		Date of Receipt
Mailing Address 739 Warren Street		05 02 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 21753740
Westfield	NJ 07090-4447	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	1
RBC Capital Markets	Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Michael Lexton		Date of Receipt
Mailing Address 383 Madison Avenue		M M / D D / Y Y Y Y Y
City	State Zip Code	05 02 2014
New York	NY 10179-0001	Transaction ID : 21753741 Amount of Each Receipt this Period
		Amount of Lacif Necelpt tills Fellod
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation]
RBC Capital Markets	Senior Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	500.00	Contribution
Full Name (Last, First, Middle Initial)		Date of Bessiet
Mr. Daniel B. Burton		Date of Receipt
Mailing Address 634 Northfield Road		05 13 _2014 _
City	State Zip Code	Transaction ID : 21769961
Lititz	PA 17543-8355	Amount of Each Receipt this Period
FEC ID number of contributing	C	500.00
federal political committee.	C	300.00
Name of Employer	Occupation	†
RBC Capital Markets	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	500.00	Contribution
Other (specify) ▼	500.00	

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	13		14		15	16	6	17

	statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
Securities Industry and Financ	ial Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial) Ananias Blocker		Date of Receipt
Mailing Address 1101 New York Avenue, NV	1	M = M / D = D / Y = Y = Y
Suite 800	Ctoto 7:- 0 - 1	05 13 2014
City Washington	State Zip Code DC 20005-4279	Transaction ID : 21769967
	2000-4213	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	2500.00
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Executive Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		Contribution
Other (specify) ▼	2500.00	
Full Name (Last, First, Middle Initial) 3. Ms. Kathleen A. Costine		Date of Receipt
Mailing Address 200 Vesey Street, 12th Floo	г	M = M / D = D / Y = Y = Y
		05 19 2014
City	State Zip Code	Transaction ID: 21769970
New York	NY 10285-1000	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	1
RBC Capital Markets	Senior Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Mark Maroney		Date of Receipt
Mailing Address 57 Monmouth Parkway		05 142014
City	State Zip Code	05 14 2014 Transaction ID : 21769971
Monmouth Beach	NJ 07750-1127	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer	Occupation	1
RBC Capital Markets	Managing Director & Head Municipal Div	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		Contribution
Other (specify) ▼	1000.00	
SUBTOTAL of Receipts This Page (optional)		4000.00
TOTAL This Period (last page this line number	r only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or for commercial purposes, other than using	the name and address of any political committee t	o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Finance	cial Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial) A. Mr. Kurt M. Freund		Date of Receipt
Mailing Address 4849 E. Pebble Ridge Roa	d	05 19 2014
City	State Zip Code	Transaction ID : 21769972
Paradise Valley	AZ 85253-4079	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer	Occupation	-
RBC Capital Markets	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1000.00	Contribution
Full Name (Last, First, Middle Initial) 3. Kenton S Cashell		Date of Receipt
Mailing Address 1350 Oak Knoll Drive		M M / D D / Y Y Y Y
o rood dakrandii Birve		05 21 2014
City	State Zip Code	Transaction ID: 21769973
Cincinnati	OH 45224-1518	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	500.00
Name of Employer	Occupation	-
RBC Capital Markets	Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	500.00	Contribution
Full Name (Last, First, Middle Initial) David Strongin		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor		05 20 2014
City	State Zip Code	Transaction ID: 21769975
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	-
Securities Industry and Financial Mark	Executive Director	
Receipt For:	Aggregate Year-to-Date ▼	-
Primary General	Aggregate real-to-Date ¥	Contribution
Other (specify) ▼	250.00	
SUBTOTAL of Receipts This Page (optional)	· · · · · · · · · · · · · · · · · · ·	1750.00
TOTAL This Period (last page this line numb	er only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

NAME OF COMMITTEE (In Full) Securities Industry and Finan Full Name (Last, First, Middle Initial)	icial Markets Association Political A	ction Committee
Mr. Jon Moellenberg		Date of Receipt
Mailing Address One Tabor Center 1200 17th Street; Suite 22		05 14 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 21769976
Denver	CO 80202-5822	_ Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	
RBC Wealth Management	Principal	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Robert E. Spangler	•	Date of Receipt
Mailing Address 61 Bridle Path Lane		M = M / D = D / Y = Y = Y
City	State 7in Code	05 28 2014
City New Canada	State Zip Code CT 06840-3906	Transaction ID : 21771948
New Canaan	CT 06840-3906	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer	Occupation	
RBC Capital Markets	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1000.00	Contribution
Full Name (Last, First, Middle Initial) S. Buford Scott	1	Date of Receipt
Mailing Address 901 E. Byrd Street Suite 500		05 28 2014
City Richmond	State Zip Code VA 23219-4066	Transaction ID : 21771951
	VA 23219-4066	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	
BB & T Capital Markets	Chairman	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
SUBTOTAL of Receipts This Page (optional	<u> </u>	1750.00

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	the name and address of any political committee	
,	cial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen Jr. Mailing Address 1101 New York Ave, NW 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For:	State Zip Code DC 20005-4269 C Occupation President	Date of Receipt M
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1923.50	
Full Name (Last, First, Middle Initial) Ms. Kyle Brandon Mailing Address 120 Broadway 35th Floor City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code NY 10271-3599 C Occupation Managing Director, DOR Aggregate Year-to-Date ▼ 961.50	Date of Receipt Mark
Full Name (Last, First, Middle Initial) Ms Kim Chamberlain Mailing Address 120 Broadway 35th Floor City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code NY 10271-3599 C Occupation Managing Director & Counsel, State Gov Aggregate Year-to-Date ▼ 385.00	Date of Receipt 05 14 2014 Transaction ID: 21771961 Amount of Each Receipt this Period 38.50
SUBTOTAL of Receipts This Page (optional)	>	327.00
TOTAL This Period (last page this line numb	er only)	

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Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Finance	al Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		05 14 2014
City	State Zip Code	05 14 2014 Transaction ID : 21771964
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	-
Securities Industry and Financial Mark	Executive Vice President, Communicatio	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	961.50	
Full Name (Last, First, Middle Initial) Ms. Bradley Gaskins Edgell	•	Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor	7.0.1	05 14 2014
City Washington	State Zip Code DC 20005-4269	Transaction ID : 21771965 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C 20030 4203	38.50
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 385.00	
Full Name (Last, First, Middle Initial) C. Marin E. Gibson		Date of Receipt
Mailing Address 120 Broadway 35th Floor		05 14 2014
City New York	State Zip Code NY 10271-3599	Transaction ID : 21771968 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	76.92
Name of Employer	Occupation	-
Securities Industry and Financial Mark	Managing Director & Counsel	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	769.20	
SUBTOTAL of Receipts This Page (optional)	· · · · · · · · · · · · · · · · · · ·	211.57
TOTAL This Period (last page this line number	conly)	

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	d Statements may not be sold or used by any per- the name and address of any political committee t	
NAME OF COMMITTEE (In Full)		
Securities Industry and Finan	cial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) Ms. Nancy Donohoe Lancia		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor City	State Zip Code	05 14 2014
New York	NY 10271-3599	Transaction ID : 21771969 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	†
Securities Industry and Financial Mark	Managing Director, State Govt. Affairs	
Receipt For:	Aggregate Year-to-Date ▼	7
Primary General	0.0	
Other (specify) ▼	385.00	
Full Name (Last, First, Middle Initial) Mr. Carter McDowell		Date of Receipt
Mailing Address 1101 New York Ave, NW		M = M / D = D / Y = Y = Y
8th Floor City	State Zip Code	05 14 2014
Uty Washington	DC 20005-4269	Transaction ID : 21771970 Amount of Each Receipt this Period
FEC ID number of contributing	2000 120	Amount of Lacif Heceipt this Fellod
federal political committee.	C	288.46
Name of Employer	Occupation	7
Securities Industry and Financial Mark	Senior Managing Director	_
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	2788.49	
Full Name (Lock Florid Medical Co. 1)	7	
Full Name (Last, First, Middle Initial) Mr. Payson R. Peabody		Date of Receipt
Mailing Address 1101 New York Avenue, N 8th Floor		05 14 2014
City	State Zip Code	Transaction ID : 21771971
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	†
Securities Industry and Financial Mark	Managing Director	_
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	385.00	
Other (specify) ▼	385.00	
SUBTOTAL of Receipts This Page (optional)) >	365.46
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SCHEDULE A (FEC Form 3X) IT

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EMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	`	ck only 11a 13	one) 11b 14	11/	c	12 16		17
ny information copied from such Reports and Statements ma	ay not be sold or used by any pe	rson fo	or the p	ourpose o	f solici	ting c	ontributi	ions	

A or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Mr. Thomas F Price Date of Receipt Mailing Address 120 Broadway 35th Floor 2014 City State Zip Code Transaction ID: 21771972 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mr. Joseph M. Vaughan Date of Receipt Mailing Address 1101 New York Avenue, NW Suite 800 05 2014 14 City State Zip Code Transaction ID: 21771974 Washington DC 20005-4279 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 231.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mr. Kenneth E. Bentsen Jr. Date of Receipt Mailing Address 1101 New York Ave, NW 2014 8th Floor 05 28 City State Zip Code Transaction ID: 21806125 DC Washington 20005-4269 Amount of Each Receipt this Period FEC ID number of contributing 192.35 С federal political committee. Name of Employer Occupation President Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General

SUBTOTAL of Receipts This Page (optional)		Ξ	7	Ī	Ī	7	2	69.35	Ξ
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2115.85

Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

	cial Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial) Ms. Lisa Bleier		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		05 28 2014
City	State Zip Code DC 20005-4269	Transaction ID : 21806126
Washington FEC ID number of contributing federal political committee.	C 20005-4269	Amount of Each Receipt this Period
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
Full Name (Last, First, Middle Initial) Ms. Kyle Brandon		Date of Receipt
Mailing Address 120 Broadway 35th Floor		05 28 2014
City New York	State Zip Code NY 10271-3599	Transaction ID : 21806127 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	96.15
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director, DOR	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1057.65	
Full Name (Last, First, Middle Initial) Ms Kim Chamberlain		Date of Receipt
Mailing Address 120 Broadway 35th Floor		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City New York	State Zip Code NY 10271-3599	Transaction ID : 21806129 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark Receipt For:	Managing Director & Counsel, State Gov	-
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 423.50	
SUBTOTAL of Receipts This Page (optional)		153.88

Use separate schedule(s) for each category of the Detailed Summary Page

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	and Statements may not be sold or used by any pering the name and address of any political committee	
NAME OF COMMITTEE (In Full) Securities Industry and Fina	ncial Markets Association Political A	action Committee
Full Name (Last, First, Middle Initial) A. Ms. Cheryl Crispen Mailing Address 1101 New York Ave, NW	V	Date of Receipt
8th Floor		05 28 2014
City	State Zip Code	Transaction ID: 21806132
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	_
Securities Industry and Financial Mark	Executive Vice President, Communicatio	
Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Aggregate Year-to-Date ▼ 1057.65	
Full Name (Last, First, Middle Initial) B. Ms. Bradley Gaskins Edgell		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		05 28 2014
City	State Zip Code	Transaction ID: 21806133
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.50
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 423.50	
Full Name (Last, First, Middle Initial) C. Tracy Eichler		Date of Receipt
Mailing Address 120 Broadway 35th Floor		05 28 2014
City New York	State Zip Code NY 10271-3599	Transaction ID : 21806134 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer	Occupation	
Securities Industry and Financial Mark	Director	
Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Aggregate Year-to-Date ▼ 211.53	
SUBTOTAL of Receipts This Page (option	al)	153.88

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NAME OF COMMITTEE (In Full)	he name and address of any political committee to the cial Markets Association Political Action					
Full Name (Last, First, Middle Initial) Ms. Lisa Fues	na markets / 3300 attorr Ontical At	Date of Receipt				
Mailing Address 1101 New York Ave, NW 8th Floor City	State Zip Code	05 28 2014 Transaction ID : 21806135				
Washington	DC 20005-4269	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	19.23				
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	Occupation Vice President Aggregate Year-to-Date ▼ 211.53					
Full Name (Last, First, Middle Initial) Marin E. Gibson	Date of Receipt					
Mailing Address 120 Broadway 35th Floor City New York	State Zip Code NY 10271-3599	05 28 2014 Transaction ID : 21806136 Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	76.92				
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director & Counsel					
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 846.12					
Full Name (Last, First, Middle Initial) Ms. Nancy Donohoe Lancia Mailing Address 120 Broadway		Date of Receipt				
35th Floor City New York	State Zip Code NY 10271-3599	05 28 2014 Transaction ID : 21806137 Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	38.50				
Name of Employer	Occupation					
Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	Managing Director, State Govt. Affairs Aggregate Year-to-Date ▼ 423.50					
SUBTOTAL of Receipts This Page (optional)		134.65				
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1	NAME OF COMMITTEE (In Full)	name and address of any political committee to I Markets Association Political Act	
A	Full Name (Last, First, Middle Initial) Mr. Carter McDowell Mailing Address 1101 New York Ave, NW 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005-4269 C Occupation Senior Managing Director Aggregate Year-to-Date ▼ 3076.95	Date of Receipt M
3	Full Name (Last, First, Middle Initial) Mr. Payson R. Peabody Mailing Address 1101 New York Avenue, NW 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005-4279 C Occupation Managing Director Aggregate Year-to-Date ▼ 423.50	Date of Receipt M M
). [[f	Full Name (Last, First, Middle Initial) Mr. Thomas F Price Mailing Address 120 Broadway 35th Floor City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code NY 10271-3599 C Occupation Managing Director Aggregate Year-to-Date ▼ 423.50	Date of Receipt M M M
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Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	the name and address of any political committee to	o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Joseph M. Vaughan Mailing Address 1101 New York Avenue, N Suite 800 City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005-4279 C Occupation Vice President Aggregate Year-to-Date ▼	Date of Receipt 05 28 2014 Transaction ID : 21806142 Amount of Each Receipt this Period 38.50
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Name of Employer	Occupation	
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SUBTOTAL of Receipts This Page (optional))	38.50
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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS			Use separate schedule(s)		FOR LINE NUMBER: PAGE 19 OF 36 (check only one)						
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	NAME OF COMMITTEE (In Full)										
\geq	Securities Industry and Financia	ıl Markets	s Association Political A	Actio	n Co	mr	nitte	e			
A.	Full Name (Last, First, Middle Initial) Morgan Stanley PAC				Date of	f Re	ceipt				
	Mailing Address 1585 Broadway 39th Floor				M = M 05	/	07			2014	Y
	City	State	Zip Code		Trans	acti	on ID	: 21826	584		
	New York	NY	10036		Amount	t of	Each	Receipt	this	Period	
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	Other (specify) ▼		5000.00								
	Full Name (Last, First, Middle Initial) Edward Jones PAC				Date of	f Re	ceipt				
	Mailing Address 12555 Manchester Road			M M	′	15			2014	4	
	City	State	Zip Code		Trans	acti	on ID	: 21826	585		
	St. Louis	МО	63131		Amount	t of	Each	Receipt	this	Period	
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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS			Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 20 OF 36 (check only one) 11a 11b 11c 12 13 14 X 15 16 17				
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	NAME OF COMMITTEE (In Full) Securities Industry and Financia	ıl Markets	s Association Political	Action Committee				
Α.	Full Name (Last, First, Middle Initial) Securities Industry and Financial Mark	Date of Receipt						
	Mailing Address 120 Broadway Floor 35			05 28 2014				
	City New York	State NY	Zip Code 10271	Transaction ID : 21771952 Amount of Each Receipt this Period				
	FEC ID number of contributing federal political committee.	С		864.61				
	Name of Employer	Occupation						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 2331.04	Reimbursement of Bank Fees				
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	Name of Employer	Occupation						
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	Securities Industry and Financial M	larkets /	Association	Politic	al Ad	ction Co	ommi	ittee	9			
_	Full Name (Last, First, Middle Initial)											
Α.	PNC Bank					Date o	f Disbu	ırsem		YY	V	
	Mailing Address 1400 K Street, NW					05	J L	05	I L	2014		
		State	Zip Code			Trans	saction	י חו	2182630	na		
	Washington	DC	20005				Juotion		210200	,,		
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	Mailing Address 1400 K Street, NW					05		14		2014		
	City S Washington	State DC	Zip Code 20005			Trans	saction	ID :	218263	15		
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	Check Re-Order			001		Amoun	t of Ea	ich D	isburser	nent this	Perio	od
	Candidate Name			Catego				Ξ		2	47.68	
	Office Sought: House Disbursen	nent For:	I				,		,			
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	President State: District:	Other (spe	cify) 🔻									
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C.	PNC Bank					Date o	f Disbu	ırsem	nent			
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	Mailing Address 1400 K Street, NW					05	J L	02	J L	2014		
		State	Zip Code			Trans	saction	ID:	218263 ⁻	17		
	Washington Purpose of Disbursement	DC	20005			-						
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SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 22 OF 36					
ITEMIZED DISBURSEMENTS	Use separate schedule(s	s) (check only	NOMBER:					
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NAME OF COMMITTEE (In Full)		Dalisi i f						
$ \; angle$ Securities Industry and Financia	ı Markets Associatior	n Political Ad	ction Committee					
Full Name (Last, First, Middle Initial)								
A. PNC Bank			Date of Disbursement					
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Mailing Address 1400 K Street, NW			05 02 2014					
City	State Zip Code							
Washington	DC 20005		Transaction ID: 21826318					
Purpose of Disbursement								
Bank Fee		001	Amount of Each Disbursement this Period					
Candidate Name		Category/	33.04					
Office Sought: House Disbu	rsement For:	Type						
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President	Other (specify) ▼							
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President	Other (specify) ▼							
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Mailing Address 700 13th Street Nw Suite 600 City State Zip Co Washington DC 20005 Purpose of Disbursement Contribution Candidate Name Sen. Mary L. Landrieu Office Sought: House President State: LA District: Full Name (Last, First, Middle Initial) B. Friends Of Erik Paulsen Mailing Address P.O. Box 44369 250 Prairie Center Drive City State Zip Co MN 55344 Purpose of Disbursement Contribution Candidate Name Rep. Erik P. Paulsen Office Sought: House Senate President Senate President Senate President Senate President State: MN District: 03 Full Name (Last, First, Middle Initial)	edule(s) of the y Page (check of the y Page)	Date of Disbursement Transaction ID: 21753623 Amount of Each Disbursement this Period Date of Disbursement this Period Transaction ID: 21753626 Amount of Each Disbursement this Period
or for commercial purposes, other than using the name and address of a NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Assoc Full Name (Last, First, Middle Initial) A. Friends Of Mary Landrieu Inc Mailing Address 700 13th Street Nw Suite 600 City State Zip Co Washington DC 20005 Purpose of Disbursement Contribution Candidate Name Sen. Mary L. Landrieu Office Sought: House President State: LA District: Full Name (Last, First, Middle Initial) B. Friends Of Erik Paulsen Mailing Address P.O. Box 44369 250 Prairie Center Drive City State Zip Co MN 55344 Purpose of Disbursement Contribution Candidate Name Rep. Erik P. Paulsen Office Sought: House Disbursement For: 2014 Senate President Contribution Candidate Name Rep. Erik P. Paulsen Office Sought: House Disbursement For: 2014 Senate President State: MN District: 03 Full Name (Last, First, Middle Initial) C. Crowley For Congress	de O11 Category Type eneral de O11 Category Type	Date of Disbursement Transaction ID: 21753623 Amount of Each Disbursement this Period O5 05 2014 Transaction ID: 21753623 Amount of Each Disbursement this Period Transaction ID: 21753626 Amount of Each Disbursement this Period
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Sen. Mary L. Landrieu Office Sought: House Senate President State: LA District: Full Name (Last, First, Middle Initial) Friends Of Erik Paulsen Mailing Address P.O. Box 44369 250 Prairie Center Drive City State Zip Contribution Candidate Name Rep. Erik P. Paulsen Office Sought: House Senate President State: MN District: 03 Full Name (Last, First, Middle Initial) Crowley For Congress	de O11 Category	Contribution Date of Disbursement 05 05 2014 Transaction ID : 21753626 Amount of Each Disbursement this Period
Office Sought: House Senate President State: LA District: Full Name (Last, First, Middle Initial) Friends Of Erik Paulsen Mailing Address P.O. Box 44369 250 Prairie Center Drive City State Eden Prairie MN S5344 Purpose of Disbursement Contribution Candidate Name Rep. Erik P. Paulsen Office Sought: House Senate President State: MN District: 03 Full Name (Last, First, Middle Initial) Crowley For Congress	de O11 Category	Contribution Date of Disbursement 05 05 2014 Transaction ID : 21753626 Amount of Each Disbursement this Period
State: LA District: Full Name (Last, First, Middle Initial) 3. Friends Of Erik Paulsen Mailing Address P.O. Box 44369 250 Prairie Center Drive City State Zip Co Eden Prairie MN 55344 Purpose of Disbursement Contribution Candidate Name Rep. Erik P. Paulsen Office Sought: House Senate Primary Grand	de 011 Category	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial) B. Friends Of Erik Paulsen Mailing Address P.O. Box 44369 250 Prairie Center Drive City State Zip Co Eden Prairie MN 55344 Purpose of Disbursement Contribution Candidate Name Rep. Erik P. Paulsen Office Sought: House Senate President State: MN District: 03 Full Name (Last, First, Middle Initial) C. Crowley For Congress	011 Category	Transaction ID : 21753626 Amount of Each Disbursement this Period
Mailing Address P.O. Box 44369 250 Prairie Center Drive City State Zip Co Eden Prairie MN 55344 Purpose of Disbursement Contribution Candidate Name Rep. Erik P. Paulsen Office Sought: House Senate President State: MN District: 03 Full Name (Last, First, Middle Initial) Crowley For Congress	011 Category	Transaction ID : 21753626 Amount of Each Disbursement this Period
City State Zip Co Eden Prairie MN 55344 Purpose of Disbursement Contribution Candidate Name Rep. Erik P. Paulsen Office Sought: House Senate President State: MN District: 03 Full Name (Last, First, Middle Initial) Crowley For Congress	011 Category	05 05 2014 Transaction ID : 21753626 Amount of Each Disbursement this Period
Eden Prairie Purpose of Disbursement Contribution Candidate Name Rep. Erik P. Paulsen Office Sought: House Senate President State: MN District: 03 Full Name (Last, First, Middle Initial) Crowley For Congress	011 Category	Amount of Each Disbursement this Period
Contribution Candidate Name Rep. Erik P. Paulsen Office Sought: Senate President State: MN District: 03 Full Name (Last, First, Middle Initial) Crowley For Congress	Category	
Rep. Erik P. Paulsen Office Sought: Senate President State: MN District: 03 Full Name (Last, First, Middle Initial) Crowley For Congress		2000.00
Office Sought: House Senate Primary General State: MN District: 03	Type	
Senate President State: MN District: 03 Full Name (Last, First, Middle Initial) Crowley For Congress	1 22	
Crowley For Congress	eneral	Contribution
Mailing Address 84-56 Grand Avenue		Date of Disbursement
		05 05 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City State Zip Co	de	Transaction ID : 21753676
Purpose of Disbursement		
Contribution	011	Amount of Each Disbursement this Period
Candidate Name	Category	y/ 1000.00
Rep. Joseph Crowley Office Sought:	Туре	
	eneral	Contribution
SUBTOTAL of Disbursements This Page (optional)		4000.00

SCHEDULE B (FEC Form 3X)		FOR LINE N	NUMBER: PAGE 24 OF 36						
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only							
	Detailed Summary Page	21b	22 🗙 23 24 25 26						
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Any information copied from such Reports and Staten or for commercial purposes, other than using the name									
NAME OF COMMITTEE (In Full)									
$\Big angle$ Securities Industry and Financial M	larkets Association P	olitical Act	tion Committee						
Full Name (Last, First, Middle Initial)									
A. Stutzman For Congress			Date of Disbursement						
Mailing Address 0250 W 600 N			05 06 2014						
City	State Zip Code		Transaction ID : 21753816						
Howe	IN 46746		1141134041011115 . 21700010						
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period						
Candidate Name	"	Category/	1000.00						
Rep. Marlin Stutzman		Туре	1000.00						
Senate President	nent For: 2014 Primary General Other (specify)		Contribution						
State: IN District: 03									
Full Name (Last, First, Middle Initial)			Data of Dialouseanant						
B. People For Patty Murray			Date of Disbursement						
Mailing Address PO Box 3662			05 06 2014						
Seattle	State Zip Code WA 98124		Transaction ID : 21753851						
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period						
Candidate Name		Category/	4000.00						
Sen. Patty Murray		Туре	1000.00						
	nent For: 2016 Primary General Other (specify)		Contribution						
Full Name (Last, First, Middle Initial)			Date of Disbursement						
C. Friends Of Schumer									
Mailing Address 192 Lexington Avenue Suite 1001			05 08 2014						
,	State Zip Code NY 10016		Transaction ID : 21754424						
Purpose of Disbursement Contribution	044								
Candidate Name	011	Amount of Each Disbursement this Period							
Sen. Charles E. Schumer		Category/ Type	2500.00						
	nent For: 2016	.,,,,,							
Senate President State: NY District:	Primary General Other (specify) ▼		Contribution						
SUBTOTAL of Disbursements This Page (optional)		·····•	4500.00						
TOTAL This Period (last page this line number only)									

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	NAME OF COMMITTEE (In Full)							
	Securities Industry and Financial M	larkets	Association	Political Ac	tion Committe	ee		
_	Full Name (Last, First, Middle Initial)							
Α.	Kline For Congress				Date of Disburse			
	Mailing Address 101 W Burnsville Pkwy Suite 104				05 C	2014		
	City	State	Zip Code		Transaction ID	1.21754440		
		MN	55337		Transaction ib	. 21/34440		
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	Candidate Name			Category/		2500.00		
	Rep. John P. Kline			Type		2300.00		
	Senate President	nent For: Primary Other (spe	X General		Contribution			
_	State: MN District: 02							
_	Full Name (Last, First, Middle Initial)				Data of Dialasses			
В.	John Lewis For Congress				Date of Disburse			
	Mailing Address P.O. Box 2323	-				2014		
	Atlanta	State GA	Zip Code 30301		Transaction ID): 21754461		
	Purpose of Disbursement Contribution			011	Amount of Each	Disbursement this Period		
	Candidate Name			Category/		1000.00		
	Rep. John Lewis			Туре		1000.00		
	Senate	nent For: Primary Other (spe	General		Contribution			
	Full Name (Last, First, Middle Initial)							
C.	Texans For Senator John Cornyn Inc			Date of Disburse				
	Mailing Address PO Box 13026				05 08 2014			
	,	State TX	Zip Code 78711		Transaction ID): 21754462		
	Purpose of Disbursement							
	Condidate Name			011	Amount of Each	Disbursement this Period		
	Sen. John Cornyn			Category/ Type		2000.00		
		nent For:	2014	71	7			
		Primary Other (spe	General ▼		Contribution			
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Bakersfield Purpose of Disbursement Contribution Candidate Name Rep. Kevin McCarthy Office Sought: House Senate President Disburser	Markets Associated State Zip C CA 9338	ry of the ary Page sold or used any political ciation Po	committee to	22 X 23 24 25 2 28a 28b 28c 29 3 on for the purpose of soliciting contributions solicit contributions from such committee.			
For commercial purposes, other than using the nan NAME OF COMMITTEE (In Full) Securities Industry and Financial Moderate Indu	Markets Associated State Zip C CA 9338	ciation Po	committee to	ction Committee Date of Disbursement 05 08 2014 Transaction ID: 21754463			
Securities Industry and Financial Months Full Name (Last, First, Middle Initial) Kevin Mccarthy For Congress Mailing Address PO Box 12667 City Bakersfield Purpose of Disbursement Contribution Candidate Name Rep. Kevin McCarthy Office Sought: House Senate President Disburser	State Zip C CA 9338	Code 39		Date of Disbursement 05 08 2014 Transaction ID: 21754463			
Full Name (Last, First, Middle Initial) Kevin Mccarthy For Congress Mailing Address PO Box 12667 City Bakersfield Purpose of Disbursement Contribution Candidate Name Rep. Kevin McCarthy Office Sought: House Senate President Disburser	State Zip C CA 9338	Code 39		Date of Disbursement 05 08 2014 Transaction ID: 21754463			
Kevin Mccarthy For Congress Mailing Address PO Box 12667 City Bakersfield Purpose of Disbursement Contribution Candidate Name Rep. Kevin McCarthy Office Sought: House Senate President Disburser	CA 9338	39	011	05 08 2014 Transaction ID : 21754463			
Mailing Address PO Box 12667 City Bakersfield Purpose of Disbursement Contribution Candidate Name Rep. Kevin McCarthy Office Sought: House Senate President Disburser	CA 9338	39	011	05 08 2014 Transaction ID : 21754463			
City Bakersfield Purpose of Disbursement Contribution Candidate Name Rep. Kevin McCarthy Office Sought: House Senate President	CA 9338	39	011	Transaction ID : 21754463			
Bakersfield Purpose of Disbursement Contribution Candidate Name Rep. Kevin McCarthy Office Sought: House Senate President Disburser	CA 9338	39	011				
Purpose of Disbursement Contribution Candidate Name Rep. Kevin McCarthy Office Sought: House Senate President Disburser	ment For: 2014		011	Amount of Each Disbursement this Period			
Contribution Candidate Name Rep. Kevin McCarthy Office Sought: House Senate President Disburser		[011	Amount of Each Disbursement this Period			
Rep. Kevin McCarthy Office Sought: House Senate President Disburser							
Office Sought: House Disburser			Category/	1500.00			
State: CA District: 22	Other (specify)	General	Туре	Contribution			
Full Name (Last, First, Middle Initial)							
Kevin Mccarthy For Congress				Date of Disbursement			
Mailing Address PO Box 12667				05 08 2014			
City Sakersfield	State Zip C CA 9338			Transaction ID : 21754464			
Purpose of Disbursement Contribution			011	Amount of Each Disbursement this Period			
Candidate Name			Category/	3500.00			
Rep. Kevin McCarthy			Туре	3300.00			
Office Sought: House Disburser	Primary 2014 Other (specify)	General ,		Contribution			
Full Name (Last, First, Middle Initial) Sherman For Congress				Date of Disbursement			
Mailing Address 777 S. Figueroa St., Ste. 4050				05 08 2014			
Los Angeles	State Zip C CA 9001			Transaction ID : 21754872			
Purpose of Disbursement Contribution			011	Amount of Each Disbursement this Period			
Candidate Name			Category/	1000.00			
Rep. Brad Sherman Office Sought:	ment For: 2014		Туре	1000.00			
Office Sought: House Disburser		General ,		Contribution			
				6000.00			
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SCHEDULE B (FEC Form 3X)		FOR LINE N	NUMBER: PAGE 27 OF 36					
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NAME OF COMMITTEE (In Full)								
Securities Industry and Financial M	larkets Association F	Political Act	tion Committee					
Full Name (Last, First, Middle Initial)								
A. Roskam For Congress Committee			Date of Disbursement					
Mailing Address P. O. Box 713			05 08 2014					
,	State Zip Code		Transaction ID: 21754873					
Wheaton	IL 60187		Transaction is . 21704070					
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period					
Candidate Name		Category/	2000.00					
Rep. Peter Roskam		Туре	200.00					
Senate President	nent For: 2014 Primary		Contribution					
State: IL District: 06								
Full Name (Last, First, Middle Initial)			D (D)					
B. Friends Of Dennis Ross			Date of Disbursement					
Mailing Address PO Box 7310			05 14 2014					
Lakeland	State Zip Code FL 33807		Transaction ID: 21762345					
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period					
Candidate Name		Category/	1000.00					
Rep. Dennis A. Ross		Type	1000.00					
	nent For: 2014 Primary General Other (specify)		Contribution					
Full Name (Last, First, Middle Initial)								
C. Mark Pryor For Us Senate			Date of Disbursement					
Mailing Address PO Box 2720			05 14 2014					
,	State Zip Code AR 72203		Transaction ID: 21762350					
Purpose of Disbursement Contribution	72203							
Candidate Name		011	Amount of Each Disbursement this Period					
Sen. Mark Pryor		Category/ Type	2000.00					
	nent For: 2014	Туре						
	Primary General Other (specify) ▼		Contribution					
SUBTOTAL of Disbursements This Page (optional)		·····•	5000.00					
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Ш	EMIZED DISBURSEMENTS	for each category of the	21h	22 🔀 23 🗆 24 🗆 25 🗀 26
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	NAME OF COMMITTEE (In Full)	,,		
	Securities Industry and Financial M	Markate Association	Political Ac	tion Committee
	Securities industry and i mancial iv	iaikeis Association	i i Oilticai Ac	ation Committee
	Full Name (Last, First, Middle Initial)			
٩.	PAT ROBERTS FOR US SENATE			Date of Disbursement
				M M / D D / Y Y Y Y
	Mailing Address PO Box 433			05 14 2014
	Oit.	Otata Zin Oada		
	City Great Bend	State Zip Code KS 67530		Transaction ID: 21762351
	Purpose of Disbursement	10 07550	T	
	Contribution		011	Amount of Each Disbursement this Period
	Candidate Name			
	Sen. Pat Roberts		Category/ Type	2500.00
		ment For: 2014	.,,,,	, , , , , , , , , , , , , , , , , , , ,
		Primary Seneral		Contribution
	President	Other (specify)		Commission
	State: KS District:	,		
	Full Name (Last, First, Middle Initial)			
3.	Friends Of Jim Clyburn			Date of Disbursement
				M M / D D / Y Y Y Y
	Mailing Address PO Box 12567			05 15 2014
	•	State Zip Code		Transaction ID: 21764533
	Columbia	SC 29211		
	Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
	Candidate Name		011	Amount of Lacif Dispulsement this Feriod
	Rep. James E. Clyburn		Category/ Type	1500.00
	•	ment For: 2014	Туре	
		Primary General		Contribution
	President	Other (specify) ▼		Contribution
	State: SC District: 06	(op. c		
	Full Name (Last, First, Middle Initial)			
_	Friends Of Jim Clyburn			Date of Disbursement
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	Mailing Address PO Box 12567			05 15 2014
	City	State Zip Code		Transaction ID: 21764536
	Columbia	SC 29211		Transaction is . 217 04000
	Purpose of Disbursement Contribution		244	
			011	Amount of Each Disbursement this Period
	Candidate Name		Category/	1000.00
	Rep. James E. Clyburn Office Sought:	ment For: 2014	Туре	
		Primary General		
	Jenate	-		Contribution
	President	()ther (specity) —		
	State: SC District: 06	Other (specify) ▼		
	State: SC District: 06	Other (specify)		
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SCHEDULE B (FEC Form 3X)		MBER: PAGE 29 OF 36			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only one)			
	Detailed Summary Page	21b 27	22 X 23 24 25 26 28a 28b 28c 29 30		
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NAME OF COMMITTEE (In Full)					
Securities Industry and Financial N	Markets Association	Political Ac	tion Committee		
Full Name (Last, First, Middle Initial)			B (B) .		
A. Gillibrand For Senate			Date of Disbursement		
Mailing Address 236 Massachusetts Ave Ne Suite 110			05 15 2014		
	State Zip Code				
Washington	DC 20002		Transaction ID: 21764538		
Purpose of Disbursement Contribution		044			
Candidate Name		011	Amount of Each Disbursement this Period		
Sen. Kirsten E. Gillibrand		Category/ Type	2000.00		
	ment For: 2018	.,,,,			
Senate President	Primary General Other (specify) ▼		Contribution		
State: NY District:					
Full Name (Last, First, Middle Initial)					
B. Robert Hurt For Congress	Robert Hurt For Congress				
Mailing Address PO Box 8	Mailing Address PO Box 8				
Chatham	State Zip Code VA 24531		Transaction ID: 21764540		
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period		
Candidate Name		Category/	1000.00		
Rep. Robert Hurt		Type	1000.00		
	ment For: 2014 Primary General Other (specify) ▼		Contribution		
Full Name (Last, First, Middle Initial)					
C. Royce Campaign Committee					
Mailing Address PO Box 2525	Mailing Address PO Box 2525				
City Orange	State Zip Code CA 92859		Transaction ID: 21764541		
Purpose of Disbursement					
	Reimbursement of Bank Fees				
Candidate Name Rep. Ed R. Royce		Category/ Type	1000.00		
•	ment For: 2014	туре			
Senate President	Senate President President Primary Other (specify) ▼				
State: CA District: 40					
SUBTOTAL of Disbursements This Page (optional)		·····	4000.00		
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SCHEDULE B (FEC Form 3X)	FOR	LINE NUMBER: PAGE 30 OF 36
TEMIZED DISBURSEMENTS	Use separate schedule(s) (chec	ck only one)
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or for commercial purposes, other than using the na	ne and address of any political commi	ttee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)		
$\Big angle$ Securities Industry and Financial N	Markets Association Politica	al Action Committee
Full Name (Last, First, Middle Initial)		
A. Friends Of Jason Chaffetz		Date of Disbursement
Mailing Address 315 Westfield Circle		05 15 2014
City	State Zip Code	Transaction ID : 21764542
Alpine	UT 84004	Transastion is 121701012
Purpose of Disbursement Contribution	011	Amount of Each Disbursement this Period
Candidate Name	Catego	
Rep. Jason E. Chaffetz	Туре	1000.00
Office Sought: House Disburse	ment For: 2014 Primary General Other (specify) ▼	Contribution
State: UT District: 03		
Full Name (Last, First, Middle Initial)		
B. Duffy For Congress		Date of Disbursement
Mailing Address PO Box 538		05 15 2014
City Wausau	State Zip Code WI 54402	Transaction ID : 21764543
Purpose of Disbursement Contribution	011	Amount of Each Disbursement this Period
Candidate Name	Catego	ry/ 2500.00
Rep. Sean P. Duffy	Туре	2300.00
Office Sought: House Disburse	ment For: 2014 Primary General Other (specify) ▼	Contribution
Full Name (Last, First, Middle Initial)		
Scott Garrett for Congress		Date of Disbursement
Mailing Address P.O. Box 905		05 15 2014
City	State Zip Code	- ,,
Newton	NJ 07860	Transaction ID: 21764544
Purpose of Disbursement		
Contribution	011	Amount of Each Disbursement this Period
Candidate Name	Catego	
Rep. Scott Garrett Office Sought: House Disburse	Type ment For: 2014	2555.50
Office Sought: House Disburse Senate President	Primary	Contribution
State: NJ District: 05	•	
SUBTOTAL of Disbursements This Page (optional).		6000.00
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SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 31 OF 36			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	le(s) (check only one)				
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NAME OF COMMITTEE (In Full)						
Securities Industry and Financial	Markets Association	Political Ac	tion Committee			
Full Name (Last, First, Middle Initial)			Date of Dishurs			
A. Robert Aderholt For Congress			Date of Disbursement			
Mailing Address P. O. Box 1158			05 15 2014			
City	State Zip Code		Transaction ID : 21764545			
Haleyville	AL 35565		เาลเเอล นเบเเ I D . 21/04343			
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period			
Candidate Name		Category/	1000.00			
Rep. Robert B. Aderholt		Type	1000.00			
Senate President	ement For: 2014 Primary General Other (specify) ▼		Contribution			
State: AL District: 04						
Full Name (Last, First, Middle Initial) B. Montanans For Tester			Date of Disbursement			
Mailing Address PO Box 3171			05 15 2014			
	State 7 C :					
City Billings	State Zip Code MT 59103		Transaction ID: 21764557			
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period			
Candidate Name		Category/				
Sen. Jon Tester		Туре	1000.00			
	ement For: 2018 ✓ Primary General Other (specify) ▼		Contribution			
Full Name (Last, First, Middle Initial)						
C. Graves For Congress			Date of Disbursement			
Mailing Address PO Box 335	Mailing Address PO Box 335					
City	State Zip Code		Transaction ID: 21764754			
Calhoun	GA 30703		11411340tiO11 ID . 21/04/34			
Purpose of Disbursement		011	Amount of Each Disbursement this Period			
Candidate Name	Candidate Name					
Rep. Tom Graves		Category/ Type	1000.00			
Office Sought: House Senate President	ement For: 2014 Primary General Other (specify)	. 180				
State: GA District: 14						
SUBTOTAL of Disbursements This Page (optional)		······	3000.00			
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NAME OF COMMITTEE (In Full)	·		
Securities Industry and Financial M	arkets Association F	Political Act	ion Committee
Full Name (Last, First, Middle Initial)			
A. Jeanne Shaheen For Senate			Date of Disbursement
Mailing Address PO Box 1510			05 16 2014
City	tate Zip Code		Transaction ID : 24765402
	NH 03105		Transaction ID: 21765403
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	4500.00
Sen. Jeanne Shaheen		Type	1500.00
Senate President	nent For: 2014 Primary General Other (specify)		Contribution
State: NH District:			
Full Name (Last, First, Middle Initial)			Data of Diahuwaanaat
B. Yoder For Congress, Inc			Date of Disbursement
Mailing Address PO Box 26742			05 20 2014
,	itate Zip Code KS 66225		Transaction ID : 21766926
Purpose of Disbursement	10 00223		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	4000.00
Rep. Kevin Yoder		Type	1000.00
Senate	nent For: 2014 Primary ☐ General Other (specify) ▼		Contribution
Full Name (Last, First, Middle Initial)			
C. Crenshaw For Congress Campaign	ı		Date of Disbursement
Mailing Address 4963 Beach Boulevard			05 20 2014
•	tate Zip Code FL 32207		Transaction ID : 21767823
Purpose of Disbursement Contribution	32207	044	
Candidate Name		011	Amount of Each Disbursement this Period
Rep. Ander Crenshaw		Category/ Type	1000.00
	nent For: 2014	Турс	, , , , , , , , , , , , , , , , , , ,
Senate	Primary General Other (specify) ▼		Contribution
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	NAME OF COMMITTEE (In Full)							
	Securities Industry and Financial M	larkets	Association	Political A	ction Commi	ittee		
	Full Name (Last, First, Middle Initial)				Data of Diale			
Α.	Friends for Gregory Meeks				Date of Disbu	D D / Y Y Y Y		
	Mailing Address 219-10 South Conduit Avenue				05	20 2014		
	,	State	Zip Code		Transaction	ID : 21767825		
	Springfield Garden Purpose of Disbursement	NY	11413		-			
	Contribution			011	Amount of Ea	ach Disbursement this Period		
	Candidate Name			Category/		1000.00		
	Rep Gregory W. Meeks			Type		1000.00		
	Senate President	nent For: Primary Other (spe	X General		Contribution			
_	State: NY District: 06							
_	Full Name (Last, First, Middle Initial)							
В.						rsement		
	Mailing Address 700 13th Street Nw Suite 600				05 20 2014			
	Washington	State DC	Zip Code 20005		Transaction	ı ID : 21767828		
	Purpose of Disbursement Contribution			011	Amount of Ea	ach Disbursement this Period		
	Candidate Name			Category/		1000.00		
	Sen. Mary L. Landrieu			Type		1000.00		
	X Senate	nent For: Primary Other (spe	General		Contribution			
С .	Full Name (Last, First, Middle Initial) Moore For Congress				Date of Disbu	ırsement		
					M M /	D D / Y Y Y Y Y		
	Mailing Address PO Box 16646				05	20 2014		
	City S Milwaukee	State WI	Zip Code 53216		Transaction	n ID : 21768568		
	Purpose of Disbursement Contribution			011				
	Candidate Name			Amount of Each Disburser		ach Disbursement this Period		
	Rep. Gwendolynne Moore			Category/ Type		1500.00		
	•	nent For:	2014	.,,,,				
	Senate President	Primary Other (spe	General		Contribution			
_	State: WI District: 04							
s	UBTOTAL of Disbursements This Page (optional)			·····	7	3500.00		
1	OTAL This Period (last page this line number only)					7		

SCHEDULE B (FEC Form 3X)		FOR LINE I	NUMBER: PAGE 34 OF 36
TEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only	
	for each category of the Detailed Summary Page	21b	22 🔀 23 🗌 24 📗 25 📗 26
		27	28a 28b 28c 29 30b
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NAME OF COMMITTEE (In Full)			
$\Big angle$ Securities Industry and Financial N	larkets Association F	Political Act	tion Committee
Full Name (Last, First, Middle Initial)			
A. Kyrsten Sinema For Congress			Date of Disbursement
Mailing Address PO Box 25879			05 21 2014
City	State Zip Code		Transaction ID : 21769940
Tempe	AZ 85285		Transaction ID . 21703340
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Rep. Kyrsten Sinema		Туре	1000.00
Senate President	nent For: 2014 Primary General Other (specify)		Contribution
State: AZ District: 09			
Full Name (Last, First, Middle Initial) B. Pat Meehan For Congress			Date of Disbursement
			M M / D D / Y Y Y Y Y
Mailing Address 50 S. Providence Road			05 22 2014
Media	State Zip Code PA 19063		Transaction ID: 21770916
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	2000.00
Rep. Patrick Meehan		Type	2000.00
Office Sought: House Disburser	nent For: 2014 Primary		Contribution
Full Name (Last, First, Middle Initial)			
C. Rangel For Congress			Date of Disbursement
Mailing Address PO Box 5577			05 28 2014
City New York	State Zip Code NY 10027		Transaction ID : 21771879
Purpose of Disbursement Contribution	10027	011	
Candidate Name			Amount of Each Disbursement this Period
Rep. Charles B. Rangel		Category/ Type	1000.00
	ment For: 2014	31	
Senate President State: NY District: 15	Primary General Other (specify) ▼		Contribution
2.00.00. 13			
SUBTOTAL of Disbursements This Page (optional)		·····	4000.00
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SCHEDULE B (FEC Form 3X)	Has assessed to the		FOR LINE I			PAGE	35 O	F 3
TEMIZED DISBURSEMENTS	Use separate schedule for each category of			check only one)			04	
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NAME OF COMMITTEE (In Full)						3,2217		
Securities Industry and Financial M	larkets Associat	ion Pol	litical Ac	tion Co	mmitte	.		
Full Name (Last, First, Middle Initial)				Б.	D:-!			
4- Hoyer For Congress					Disbursen			
Mailing Address 700 13th Street, Nw				05	28		2014	Y
Suite 600				00	20		_U 1-Ŧ	
City	State Zip Code			Trans	action ID :	21771005		
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Candidate Name				AHOUIII	OI Lacii L	, soul sellie	u 115 P	GIIUU
Rep. Steny H. Hoyer		Ca	ategory/ Type		1 1		1500.	00
	ment For: 2014		71: -			,		
Senate	Primary Gene	eral		Contribu	ıtion			
President	Other (specify) ▼							
State: MD District: 05								
Full Name (Last, First, Middle Initial)				Data of	Disbursen	nent		
- Hoyer For Congress					_		V . V -	V
Mailing Address 700 13th Street, Nw				05	28		2014	Y
Suite 600								
,	State Zip Code			Trans	action ID :	21771896		
Washington Purpose of Disbursement	DC 20005							
Contribution			011	Amount	of Each D	Disburseme	nt this P	eriod
Candidate Name			ategory/				1. 1	
Rep. Steny H. Hoyer			Type		-	-	3500.	.00
	nent For: 2014							
Senate	Primary Gene	eral		Contribu	ution			
State: MD District: 05	Other (specify)							
•••								
Full Name (Last, First, Middle Initial) Heller For Senate				Date of	Disbursen	nent		
	Heller For Seriale				/ D [) / Y	YY	Υ
Mailing Address PO Box 371907				05	30		2014	
Oib.	75.00							
City Las Vegas	State Zip Code NV 89137			Trans	action ID :	21796732		
Purpose of Disbursement	00107							
Contribution			011	Amount	of Each D	Disburseme	nt this P	eriod
Candidate Name		Ca	ategory/				1000	00
Sen. Dean Heller			Type				1000.	00
	nent For: 2018	aral						
Senate President	Primary Gene Other (specify) ▼	ərai		Contribu	ıtion			
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SUBTOTAL of Disbursements This Page (optional)							6000.	00
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SCHEDULE B (FEC Form 3X)	Han annount of the	FOR LINE I	NUMBER:	PAGE 36 OF 36		
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(oricon oriny	,			
	Detailed Summary Page	21b 27	22 X 23 28a 28b	24 25 26 28c 29 30		
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or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full)	auliate A	Darre 11	elan O			
Securities Industry and Financial M	arkets Association	Political Ac	tion Committ	ree		
Full Name (Last, First, Middle Initial)						
A. Jeffries For Congress			Date of Disburs			
Mailing Address 630 Washington Avenue				30 2014		
	tate Zip Code		Transaction II) · 21706700		
Brooklyn	NY 11238		Transaction ID	113UI II		
Purpose of Disbursement Contribution		011	Amount of Each	n Disbursement this Period		
Candidate Name		Category/				
Rep. Hakeem Jeffries		Type		1000.00		
	nent For: 2014		Occasion in			
	Primary General Other (specify)		Contribution			
State: NY District: 08	(opoony) V					
Full Name (Last, First, Middle Initial)						
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Purpose of Disbursement	Т		_			
·			Amount of Each	n Disbursement this Period		
Candidate Name		Category/ Type				
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SUBTOTAL of Disbursements This Page (optional)				1000.00		
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